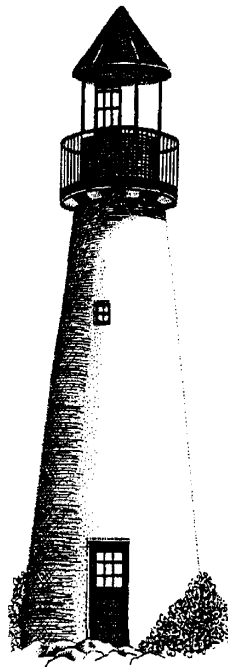


**Oceanside Unified School District**  
**REQUEST FOR PREQUALIFICATIONS**

**RFP 2023-21-015P**

**CONSTRUCTION SERVICES**  
**For**

**SAN LUIS REY ELEMENTARY SCHOOL – CAMPUS MODERNIZATION**  
**(Required: Class C-20 – Mechanical Contracting License)**



**NOTICE OF REQUEST FOR PREQUALIFICATION**

**ADVERTISEMENT FOR PREQUALIFICATION  
OF MECHANICAL CONTRACTOR**

NOTICE IS HEREBY GIVEN THAT OCEANSIDE UNIFIED SCHOOL DISTRICT OF SAN DIEGO COUNTY, CALIFORNIA, acting by and through its Governing Board, is requesting prequalification of MECHANICAL contractors pursuant to Public Contract Code Section 20111.6 for construction services for the SAN LUIS REY ELEMENTARY SCHOOL CAMPUS MODERNIZATION.

**REQUEST FOR PREQUALIFICATION  
CONSTRUCTION SERVICES  
FOR  
OCEANSIDE UNIFIED SCHOOL DISTRICT**

**SAN LUIS REY ELEMENTARY SCHOOL  
CAMPUS MODERNIZATION**

**(Required: Class C-20 – Mechanical Contracting License)**

The Request for Prequalification package and required forms may be obtained from the Oceanside Unified School District, Bond Construction Program Office, 2111 Mission Avenue, Building E, Oceanside, CA 92058 or from the OUSD webpage at <https://oceansideusbond.maasco.com>.

The RFP Package & required forms may also be obtained from the following dropbox link <https://www.dropbox.com/sh/lqry96y80r1pnsj/AACBs7C-kltZYEwnEwzec81Ga?dl=0>

Questions should be submitted in writing to Ricardo Morehead via e-mail at [rmorehead@califcm.com](mailto:rmorehead@califcm.com) by **3:00 P.M. Monday, September 12<sup>th</sup>, 2022**.

Completed Prequalification submissions and all supporting documents should be placed in a sealed envelope marked "Confidential" and mailed or delivered to Ricardo Morehead at the Oceanside Unified School District, Bond Construction Program Office, 2111 Mission Avenue, Building E, Oceanside, CA, 92058, up to but no later than **10:00 A.M. on Friday, October 14<sup>th</sup>, 2022**.

Oceanside Unified School District and CCM/MAAS will be hosting a Contractor Outreach Event on Monday, August 22 at 3:00pm. The event will take place at the OUSD Board Room located at 2111 Mission Ave, Oceanside, CA 92058. All businesses and contractors, including small businesses and historically underutilized businesses, are encouraged to attend. Please visit <https://oceansideusbond.maasco.com> to RSVP for the event.

Dated this day of **August 11<sup>th</sup>, 2022**

Publication date: **August 15<sup>th</sup>, 2022 and August 22<sup>th</sup>, 2022**

## I. Introduction

1. Pursuant to Public Contract Code section 20111.6, contractors bidding (hereinafter "Contractor" or "Contractors") on the Oceanside Unified School District's **SAN LUIS REY ELEMENTARY SCHOOL CAMPUS MODERNIZATION** project (hereinafter "Project") shall be subject to this Pre-Qualification Policy and shall be required to furnish sufficient proof of public works experience and financial ability in performing public works by completing and submitting standard pre-qualification documents and providing specified supporting documentation, which submission is verified under oath by the Contractor in the manner in which pleadings in civil actions are verified.
2. Contractors seeking pre-qualification are required to submit to the District completed pre-qualification documents ("Pre-Qualification Documents") and any and all documents required therein. (The Pre-Qualification Documents and additional requirements, are set forth in) The Pre-Qualification Documents will be the basis for determining which Contractors are qualified to bid on the Projects. Pursuant to Public Contract Code section 20111.6, the questionnaires and submitted financial statements are not public records and are not open to public inspection.
3. All prequalification documentation must be submitted at least **10 business days** before the date fixed for the public opening of sealed bids; or the contractor and all subcontractors listed must actually be prequalified for at least **5 business days** before the date fixed for the public opening of sealed bids.
4. All prime contractors and any electrical, mechanical, or plumbing contractors, performing work on the project must be prequalified. A list of prequalified general contractors and electrical, mechanical, and plumbing subcontractors shall be made available by the school district to all bidders at least **5 business days** before the dates fixed for the public opening of sealed bids.
5. Contractors must complete the attached Contractor Pre-Qualification Interest Form (**Attachment "A"**) and submit it to the District no later than **3:00 PM on Monday, September 5<sup>th</sup>, 2022**, to ensure delivery of updates and addenda. It shall be the Contractor's sole responsibility to properly submit the Contractor Pre-Qualification Interest Form and to confirm that it has received and reviewed any and all updates and addenda issued by the District. The District encourages Contractors to submit this form immediately upon receipt of the Pre-Qualification Package.
6. Questions must be submitted to Ricardo Morehead via email at [rmorehead@califcm.com](mailto:rmorehead@califcm.com) no later than **3:00 p.m. on Monday, September 12<sup>th</sup>, 2022**. Responses will be provided on **Friday, September 16<sup>th</sup>, 2022**, by posting on the district website at <https://oceansideusdbond.maasco.com>

and by-email to Contractors who have submitted an Interest Form per the deadline noted in Item 3 above.

7. A Contractor is either pre-qualified in accordance with the limits contained herein or not pre-qualified based on the District's uniform rating system described herein. No rating other than a positive or negative pre-qualification determination shall be established by this process.
8. A positive pre-qualification determination is required before any Contractor may submit a bid on Projects in accordance with Public Contract Code section 20111.6(f).

## II. Description of Project

The project consists of Campus modernization including and/or not limited to; complete modernization of all existing buildings. 'A' - 8,405 sf. 'B' - 6,679 sf. 'C' - 8,834 sf. 'D' - 3,092 sf and all accessory and restroom spaces within permanent/ original building construction. Complete modernization and new building addition to existing building 'E' - 8,078 sf and all accessory, restrooms, and food service spaces: Site improvements that include public site improvements as well as School Campus Site Improvements. New modular buildings throughout, (13) classroom and (1) restroom buildings. (M-1' - 1,400 sf) (M-2' - 3,840 sf) (M-3' - 2,400 sf) (M-4' - 2,880 sf) M-5' - 3,360 sf) which will be supplied by others.

The estimated construction cost is \$2,200,000.00.

<u>Date</u>	<u>Milestone</u>
September 27 & October 04, 2022	Advertise for Bids
October 11 & October 12, 2022	Mandatory Pre-bid Meeting
November 1, 2022	Open Bids
December 27, 2022	Notice to Proceed
Fall, 2024	Project Completion

## III. Pre-Qualification Standards and Notification

1. In addition to fulfilling all of essential pre-qualification requirements and providing all of the required supplemental documents (Pre-Qualification Documents and related documents), Contractors must answer all questions in the Pre-Qualification Documents for which point values are assigned in order to be pre-qualified to bid on the Projects.
2. All supplemental documents are to be submitted on 8 ½ by 11 sheets and must be organized and identified in accordance with the requirements of the Pre-Qualification Documents.
3. The District requests that completed Pre-Qualification Documents and supporting materials be submitted not later **10:00 a.m. on Friday, October 14<sup>th</sup>, 2022.**

4. The District shall inform Contractors, in writing via electronic mail, of staff's pre-qualification determination. If a Contractor decides to appeal a negative pre-qualification determination pursuant to the appeal procedures described below, any such appeal must be filed within four (4) business days of the date of the notice of negative determination.

Completed pre-qualification packages should be marked "**Confidential**" and mailed or delivered to:

**Oceanside Unified School District  
Bond Construction Program Office  
2111 Mission Avenue, Building E  
Oceanside, CA 92058**

**Attn.: Ricardo Morehead**

Packages must be received no later than **October 14<sup>th</sup>, 2022 at 10:00 a.m.**

5. The Contractor's submittal must be signed under penalty of perjury by an individual who has the legal authority to bind the Contractor on whose behalf that person is signing. In addition to disqualification for failure to meet the District's criteria, Contractor may be automatically disqualified for a failure to provide the requested information or falsification of information required by this Pre-Qualification Standards Document.

#### **IV. Appeal Procedures**

In conjunction with this pre-qualification policy, the District also hereby establishes the Bidder Pre-Qualification Appeals Panel ("Appeal Panel") consisting of the following, or their designees (s): The District's **Associate Superintendent of Business Services and the Bond Program Manager**. The sole issue before the Appeals Panel shall be the scoring of a Contractor. The decision of the Appeals Panel shall be the District's final administrative decision. If the Contractor disputes the decision of the Appeals Panel, it may proceed with the dispute resolution procedure contained herein. This dispute resolution procedure shall be the Contractor's sole remedy for the dispute.

- a) Where a complete and timely submitted application results in a rating below the necessary to pre-qualify, an appeal can be made. An appeal is begun by the Contractor delivering notice to the District of its appeal of the decision with respect to its pre-qualification rating no later than four (4) business days after the date of the District's notice of the negative pre-qualification determination. Without a timely appeal, the Contractor waives any and all rights to challenge the decision of the District, whether by administrative process or any other process or proceeding.
- b) If the Contractor gives the required notice of appeal and requests a hearing, the hearing shall be conducted so that it is concluded no later than five (5) business days after the District's receipt of the notice of appeal. The hearing

shall be an informal process conducted by the Appeals Panel to whom the District's governing board has delegated responsibility to hear such appeals. At or prior to the hearing, the Contractor will be advised of the basis for the District's pre-qualification determination. The Contractor will be given the opportunity to present information and present reasons in opposition to the rating. The Appeals Panel will render its decision.

NOTE: A Contractor may receive a negative pre-qualification determination for the Project if the Contractor fails to meet the District's minimum requirements. In addition, a Contractor may be found not pre-qualified for either:

- a) Omission of requested information.
- b) Falsification of information.

**V. Insurance Information**

Contractor must provide proof of insurance from a California-admitted carrier with financial rating of at least A; V as rated in the most recent edition of Best's Key Rating Guide. The certificate shall contain at a minimum the following coverages and limits:

**Comprehensive General Liability Insurance**

With a combined single limit per occurrence ----- \$1,000,000

**OR**

**Commercial General Liability Insurance**

(Including automobile including) which provides limits not less than:

Per occurrence (combined single limit) ----- \$1,000,000  
Per Specific Aggregate (for this Project only) ----- \$2,000,000  
Products/Completed Operations ----- \$1,000,000  
Personal & Advertising Injury limit ----- \$1,000,000

**AND**

**Automobile Liability Insurance**

In the amount of not less than

Per occurrence for bodily injury and property damage ----- \$1,000,000

**Worker's Compensation** ----- Statutory

## Pre-Qualification Requirements

The following criteria and requirements must be met in the form indicated in order for a Contractor to be determined “pre-qualified” by the Oceanside Unified School District. Failure to provide the requested information or purposely withholding pertinent information shall disqualify the Contractor. Any false or knowingly inaccurate information or responses provided shall serve to disqualify the Contractor.

### -CONTRACTOR PRE-QUALIFICATION QUESTIONNAIRE

#### **A. CONTRACTOR INFORMATION**

(All Questions Must Be Answered)

Firm Name (as it appears on CSLB license): \_\_\_\_\_

Firm Type (Select one):      Corporation    LLC    Partnership    Sole Proprietorship    Joint Venture

Contact Person/Title: \_\_\_\_\_  
(List Owner(s) if Firm is a Sole Proprietorship or Partnership)

Local Address (P.O. Box is not acceptable):  
 Address 1: \_\_\_\_\_  
 Address 2: \_\_\_\_\_  
 City\_\_ Zip: \_\_\_\_\_  
 Office Telephone: \_\_\_\_\_  
 Contact Mobile: \_\_\_\_\_  
 Fax Number: \_\_\_\_\_  
 E-mail Address: \_\_\_\_\_

List all California construction or other professional license numbers, classifications and expiration dates held by your firm:

License Number	Classification	Expiration Date

List your firm’s DIR Public Works Contractor (PWCR) Registration Number(s):

PWCR Number	Entity Name	Expiration Date

If any of your firm’s license(s) are held in the name of a corporation, limited liability company, or

partnership, list below the names of the qualifying individual(s) listed on the CSLB records who meet(s) the experience and examination requirements for each license.

License Number	Qualifying Individual	Expiration Date

**B. CURRENT ORGANIZATION AND STRUCTURE**

Complete the section below for the appropriate type of

firm: **For Firms That Are Corporations:**

- 1a. Date incorporated: \_\_\_\_\_
- 1b. Under the laws of what state: \_\_\_\_\_
- 1c. Provide all the following information for each person who is either (a) an officer of the corporation (president, vice president, secretary, treasurer), or (b) the owner of at least ten percent of the corporation’s stock:

Name	Position	Years with Firm	% Ownership

- 1d. Identify every construction firm that any person listed above has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years. **NOTE: For this question, “owner” and “partner” refer to ownership of ten percent or more of the business, or ten percent or more of its stock, if the business is a corporation.**

Person’s Name	Construction Firm	Date of Person’s Participation with Firm

**For Firms That Are Limited Liability Companies (LLC):**



- 1a. Date Article of Organization filed: \_\_\_\_\_
- 1b. Under the laws of what state: \_\_\_\_\_
- 1c. Provide all the following information for all members of the LLC:

Name	Position	Years with Firm	% Ownership

- 1d. Identify every construction firm that any person listed above has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.  
**NOTE: For this question, “owner” and “partner” refer to ownership of ten percent or more of the business, or ten percent or more of its stock, if the business is a corporation.**

Person’s Name	Construction Firm	Date of Person’s Participation with Firm

**For Firms That Are Partnerships:**

- 1a. Date incorporated: \_\_\_\_\_
- 1b. Under the laws of what state: \_\_\_\_\_
- 1c. Provide all the following information for each partner who owns ten percent or more of the firm.

Name	Position	Years with Firm	% Ownership

- 1d. Identify every construction firm that any person listed above has been associated with (as

owner, general partner, limited partner or officer) at any time during the last five years.  
**NOTE: For this question, “owner” and “partner” refer to ownership of ten percent or more of the business, or ten percent or more of its stock, if the business is a corporation.**

Person’s Name	Construction Firm	Date of Person’s Participation with Firm

**For Firms That Are Sole Proprietorships:**

- 1a. Date of commencement of business \_\_\_\_\_
- 1b. Identify every construction firm that the business owner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.  
**NOTE: For this question, “owner” and “partner” refer to ownership of ten percent or more of the business, or ten percent or more of its stock, if the business is a corporation.**

Person’s Name	Construction Firm	Date of Person’s Participation with Firm

**For Firms That Intend to Make a Bid as Part of a Joint Venture:**

- 1a. Date of commencement of joint venture: \_\_\_\_\_
- 1b. Provide all the following information for each firm that is a member of the joint venture that expects to bid on one or more projects

Name of Construction Firm	% Ownership

**Note: Explain on a separate sheet. Provide all other pertinent information required in the sections above, for each Corporation, LLC, Partnership, or Sole-Proprietorship that is a part of the Joint Venture.**



8. How often do you require documented safety meetings to be held for construction employees and field supervisors during the course of a project? \_\_\_\_\_

9. List your firm's Experience Modification Rate (EMR) (California workers' compensation insurance) for each of the past three premium years:  
 Current year rate: \_\_\_\_\_  
 Previous year rate: \_\_\_\_\_  
 Year prior to previous year rate: \_\_\_\_\_

10. Does your firm regularly utilize a third party consultant to review labor compliance documents of subcontractors on a public works project. Yes  No

11. Provide the name, address and telephone number of the apprenticeship program (approved by the California Apprenticeship Council) from whom you intend to request the dispatch of apprentices to employ on any public works project awarded by District.

Name	Address	Phone

12. Provide the name, address and telephone number of the apprenticeship program (approved by the California Apprenticeship Council) from whom you have requested and/or employed apprentices in the past three years.

Name	Address	Phone

13. If your firm operates its own State-approved apprenticeship program:

- (a) Identify the craft or crafts in which your firm provided apprenticeship training in the past year;
- (b) State the year in which each such apprenticeship program was approved and attach evidence of the most recent California Apprenticeship Council approval(s);
- (c) For each craft, list the number apprentices employed by your firm and the number of individuals that completed apprenticeships while employed by your firm during the last three years.

Craft	Year	No. Apprentices	No. Completed

14. Has your firm changed names or license number in the past five years?  Yes  No  
 (If yes, explain on a separate sheet, including the reason for the change.)

15. Has there been any change in ownership of your firm at any time during the last five years?  Yes  No  
 (If yes, explain on a separate sheet.)

**NOTE: A corporation whose shares are publicly traded is NOT required to answer this question.**

16. Is your firm a subsidiary, parent, holding company or affiliate of another construction firm?  Yes  No  
 (If yes, explain on a separate sheet.)

**NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.**

17. Has any owner, partner and/or officer of your firm operated or been connected to a construction firm under any other name in the last five years not listed in Section A or Section B above at any time in the past five years?  Yes  No

(If yes, provide the person's name, construction firm name, and dates of participation.)

Name	Construction Firm	Dates of Participation

**C. ESSENTIAL CRITERIA QUESTIONS**

Circle Answer

- |    |   |     |    |
|----|---|-----|----|
| 1. | Does your firm possess a valid and current California Contractor’s or other professional license as required by law for the project or projects for which it intends to submit a bid? | Yes | No |
| 2. | Is your firm registered with DIR as a Public Works Contractor for the current fiscal year?  | Yes | No |
| 3. | Does your firm have a liability insurance policy with a policy limit of at least \$1,000,000 per occurrence and \$2,000,000 aggregate?  |     |    |
| 4. | Does your firm have current workers’ compensation insurance policy as required by the Labor Code or is your firm legally self-insured pursuant to Labor Code section 3700 et. seq.?   | Yes | No |
| 5. | Have you attached your firm’s latest copy of reviewed or audited financial statements with accompanying notes and supplemental information? *   | Yes | No |

**NOTE: Financial statements that are not either reviewed or audited are not acceptable. A letter verifying availability of a line of credit may also be attached; however, it will be considered as supplemental information only, and is not a substitute for the required financial statements.**

- |    |  |     |    |
|----|--|-----|----|
| 6. | Have you attached a notarized statement from an admitted surety insurer (approved by the California Department of Insurance) authorized to issue bonds in the State of California, which states that: (a) your current bonding capacity is sufficient for the project for which you seek pre-qualification if you are seeking pre-qualification for a single project; or valid for a year if you are seeking pre-qualification valid for a year; and (b) your current available bonding capacity? ** | Yes | No |
|----|--|-----|----|

**NOTE: Notarized statement must be from the surety company, not an agent or broker.**

- |    |   |     |    |
|----|---|-----|----|
| 7. | Has your contractor’s or other professional license been revoked at any time in the last five years?  | Yes | No |
| 8. | Has a surety firm completed a contract on your behalf, or paid for completion because your firm was in default and/or terminated by the project owner within the last five years? | Yes | No |

- |     |  | <b>Circle Answer</b> |    |
|-----|--|----------------------|----|
| 9.  | Is your firm, any of its officers, supervisors, managers, or any firm or individual identified above in Section A and/or Section B, ineligible to bid on or be awarded a public works contract, or perform as a subcontractor on a public works contract, pursuant to Labor Code section 1777.1, Labor Code section 1777.7, or any other federal, state, county, municipal or other local law providing for the debarment of contractors from public works?  | Yes                  | No |
| 10. | Has your firm, any of its officers, supervisors, managers, or any firm or individual identified above in Section A and/or Section B been convicted of a crime involving the awarding of a contract of a government construction project, the bidding or performance of a government contract, antitrust statutes, racketeering statutes, safety and health regulations, environmental laws, laws banning workplace discrimination, laws governing wages, hours or labor standards, or laws involving fraud, theft, or any other act of dishonesty? | Yes                  | No |
| 11. | Is your firm currently the debtor in a bankruptcy case?<br><br>(If yes, attach a copy of the bankruptcy petition showing the case number and date on which the petition was filed.)  | Yes                  | No |
| 12. | Has your firm, any of its officers, supervisors, managers, or any firm or individual identified above in Section A and/or Section B ever been terminated from a public works contract, including but not limited to termination based on any misconduct, such as failure to comply with contractual, statutory, or other legal obligations from any public construction project?   | Yes                  | No |
| 13. | Does your firm, any of its officers, supervisors, managers, or any firm or individual identified above in Section A and/or Section B currently have any delinquent liability to an employee, the state, or any awarding body for any assessment of back wages or related damages, interest, fines or penalties pursuant to any final judgment, order, or determination by any court or any federal, state, or local administrative agency, including a confirmed arbitration award?  | Yes                  | No |

\*\* An additional notarized statement from the surety may be requested by Oceanside Unified School District at the time of submission of a bid, if this pre-qualification questionnaire is submitted more than 60 days prior to submission of the bid.

## **D. PRE-QUALIFICATION CRITERIA QUESTIONS**

### **Prior History**

Circle Answer

1. How many times has your firm, or any of its officers, supervisors, or managers, declared bankruptcy at any time during the last five years? **0 1 2 3 4 5+**

(This question refers only to a bankruptcy action that was not described in your answer to Question 11 in Section C. If yes, attach a copy of the bankruptcy petition showing the case number and the date on which the petition was filed, a copy of the Bankruptcy Court's discharge order or any other document that concluded the case if no discharge order was issued.)

2. How many times has your firm, or any of its officers, supervisors, or managers, had an injunction, judgment, order, or lien entered against it for outstanding taxes assessed or fines, penalties and/or unpaid employee wages at any time in the last five years? **0 1 2 3 4 5+**

(Explain on a separate sheet. Provide details, including the name of the government agency, caption, date, case or docket number, and disposition. Be sure to note any judgments or liens that have not been fully satisfied.)

3. How many times in the past five years, has your firm, or any of its officers, supervisors, or managers, been a party in any civil litigation or administrative proceeding alleging violation of any of the following: contract antitrust statutes, racketeering statutes, safety and health regulations, environmental laws, laws banning workplace discrimination, laws governing wages, hours or labor standards, or laws involving fraud, theft, or any other act of dishonesty? **0 1 2 3 4 5+**

(Explain on a separate sheet. Provide details including the nature of the claims and defenses, caption, date, case or docket number, name of the court or agency before which the case is pending or which it was heard, and current status.)

4. How many times in the past five years, has your firm, or any of its officers, supervisors, or managers, been a party in any civil litigation or administrative proceeding alleging a violation by a subcontractor hired by your firm of any of the following: contract antitrust statutes, racketeering statutes, safety and health regulations, environmental laws, laws banning workplace discrimination, laws governing wages, hours or labor standards, or laws involving fraud, theft, or any other act of dishonesty? **0 1 2 3 4 5+**

(Explain on a separate sheet. Provide details including the nature of the claims and defenses, caption, date, case or docket number, name of the court or agency before which the case is pending or which it was heard, and current status.)



Circle Answer

5. How many times in the past five years, has your firm, or any of its officers, supervisors, or managers, paid any amount, fine or otherwise, regardless of characterization, to settle any of the allegations listed in Questions 3 and 4 above, whether with or without an admission of responsibility or liability? **0 1 2 3 4 5+**

(Explain on a separate sheet. Provide details, including the caption, date, case or docket number, and name of the court or agency before which the case was brought.)

6. How many times has your firm, or any of its officers, supervisors, or managers, been debarred, suspended, disqualified, denied a classification rating or pre-qualification or otherwise been declared not responsible to or prevented from bidding or performing work on any public works contract or subcontract in the last five years? **0 1 2 3 4 5+**

(Explain on a separate sheet. State whether the firm involved was the firm applying for pre-qualification here or another firm. Identify by name of the company, name of the person within your firm who was associated with that company, date, owner of the project, project name and information, basis for the action, and case or docket number.)

7. How many times in the last five years has your firm been assessed and paid liquidated damages after completion of a project under a construction contract with either a public or private owner? **0 1 2 3 4 5+**

(Explain on a separate sheet. Identify all such projects by owner, owner's address, date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.)

8. How many times during the past five years, has any surety company made any payments on your firm's behalf as a result of a default, to satisfy any claims made against a performance or payment bond issued on your firm's behalf, in connection with a construction project, either public or private? **0 1 2 3 4 5+**

(Explain on a separate sheet. Identify the amount of each such claim, name and telephone number of the claimant, date of claim, grounds for claim, present status of claim, date of resolution of such claim if resolved, method by which claim was resolved if resolved, nature of resolution and amount, if any, at which claim was resolved.)

9. How many times in the last five years has any insurance carrier, for any form of insurance, refused to renew an insurance policy for your firm? **0 1 2 3 4 5+**

(Explain on a separate sheet. Name the insurance carrier, form of insurance, and year of refusal.)

10. How many times during the last five years, has your firm been denied bond coverage by a surety company, or has there been a period of time when your firm had no surety bond in place during a public works construction project when one was required? **0 1 2 3 4 5+**

(Explain on a separate sheet. Indicate the date when your firm was denied coverage, name of the company or companies which denied coverage, and the time period during which you had no surety bond in place.)

**Criminal Matters and Civil Suits**

Circle Answer

11. How many times has your firm, or any of its officers, supervisors, or managers, ever been convicted of a crime involving any federal, state, or local law related to construction? **0 1 2 3 4 5+**

(Explain on a separate sheet. Identify who was involved, name of the public agency, date of conviction, and grounds for conviction.)

12. How many times has your firm, or any of its officers, supervisors, or managers, ever been found liable in a civil suit or convicted of a federal or state crime of fraud, theft, or involving any other act of dishonesty, such as making any false claim or material misrepresentations? **0 1 2 3 4 5+**

(Explain on a separate sheet. Identify the person or persons convicted or found liable, court [the county if a state court, the district or location if federal court], year, and conduct involved.)

**NOTE: The following two questions refer only to disputes between your firm and the owner of a project. You need not include information about disputes between your firm and a supplier, another contractor, or subcontractor. You need not include information about "pass-through" disputes in which the actual dispute is between a sub-contractor and a project owner. Also, you may omit reference to all disputes involving amounts of less than \$50,000.**

13. How many times in the last five years has any claim against your firm concerning your firm's work on a construction project been filed in court or arbitration? **0 1 2 3 4 5+**

(Explain on a separate sheet. Identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim [e.g. "pending" or, if resolved, a brief description of the resolution].)

14. How many times in the last five years has your firm made any claim against a project owner concerning work on a project or payment for a contract and filed that claim in court or arbitration? **0 1 2 3 4 5+**

(Explain on a separate sheet. Identify the claim(s) by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim [e.g. "pending" or if resolved, a brief description of the resolution].)

**Occupational Health and Safety Compliance**

Circle Answer

15. How many times in the last five years has CalOSHA cited and assessed penalties against your firm for any “serious,” “willful” or “repeat” violation(s) or the federal Occupational Safety and Health Administration cited and assessed penalties against your firm for violation(s) of safety or health regulations? **0 1 2 3 4 5+**

**NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.**

16. How many times in the last five years has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either your firm or the owner of a project on which your firm was the contractor? **0 1 2 3 4 5+**

(Explain on a separate sheet describing each citation.)

**NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.**

17. How many times within the last five years has there ever been a period when your firm had employees but was without workers’ compensation insurance or state-approved self-insurance? **0 1 2 3 4 5+**

(Explain the reason for each absence of workers’ compensation insurance on a separate sheet. If “None,” please provide a statement by your current workers’ compensation insurance carrier that verifies periods of workers’ compensation insurance coverage for the last five years. If your firm has been in the construction business for less than five years, provide a statement by your workers’ compensation insurance carrier verifying continuous workers’ compensation insurance coverage for the period that your firm has been in the construction business.)

**Prevailing Wage and Apprenticeship Compliance**

18. How many times during the last five years, has your firm been required to pay back wages and/or penalties related to **state or federal** prevailing wage laws for work performed by **your firm**? **0 1 2 3 4 5+**

(Explain on a separate sheet. Describe the nature of each violation and identify the name of the project, date of its completion, public agency for which it was constructed, number of employees underpaid, and amount(s) of back wages and penalties your firm was required to pay.)

**NOTE: Question 18 refers only to the violation of prevailing wage laws by your firm, not to violations by a subcontractor.**

Circle Answer

19. How many times during the last five years, has your firm or any subcontractors hired by your firm been required to pay back wages and/or penalties related to **state or federal** prevailing wage laws for work performed by a **subcontractor**?

0 1 2 3 4 5+

(Explain on a separate sheet. Identify the subcontractor's business name and CSLB license number, describe the nature of each violation, and identify the name of the project, date of its completion, public agency for which it was constructed, number of employees underpaid, and amount(s) of back wages and penalties your firm was required to pay.)

**NOTE: This question refers only to the violation of prevailing wage laws by subcontractors, not to violations by your firm.**

20. How many times during the last five years, has your firm paid any penalties related to any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works projects, including Labor Code sections 1777.5 and 1777.7?

0 1 2 3 4 5+

(Explain on a separate sheet. Provide the date(s) of such findings, case number(s), and attach copies of the Department's final decision(s).)

21. How many times during the last five years, has any subcontractor hired by your firm paid any penalties related to any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works projects, including Labor Code sections 1777.5 and 1777.7?

0 1 2 3 4 5+

(Explain on a separate sheet. Provide the date(s) of such findings, case number(s), and attach copies of the Department's final decision(s).)



**NOTE: Omissions, misrepresentations and any failure to disclose associations with other firms or any prior history of alleged violations may lead to loss of your eligibility to register as a Public Works Contractor, disqualification of bids, and/or grounds for termination of a contract.**



**E. PROJECT REFERENCES**

Provide information about the firm’s six most recently completed public works projects and its three largest completed private projects within the last three years. Names and references must be current and verifiable. Use separate sheets of paper that contain all of the following information\*:

For General Contractors: Only list projects your firm performed as the general contractor.

For Subcontractors: Only list projects your firm performed as the prime contractor if a single trade job or as a subcontractor on a multiple trade contract. For multiple trade contracts, indicate the general contractor’s name and contact information.

Project Name: \_\_\_\_\_

Location: \_\_\_\_\_

Owner: \_\_\_\_\_

Owner Contact (name and current phone number): \_\_\_\_\_

Architect or Engineering Firm: \_\_\_\_\_

Architect or Engineer Contact  
(name and current phone number): \_\_\_\_\_

Construction Manager (name and current phone number): \_\_\_\_\_

Inspector of Record (name and current phone number): \_\_\_\_\_

Description of Project, Scope of Work Performed:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Total Value of Construction  
(including change orders): \_\_\_\_\_

Date Construction Commenced: \_\_\_\_\_

Original Contractual Completion Deadline: \_\_\_\_\_

Adjusted Completion Deadline Based on  
Time Extensions Granted by Owner: \_\_\_\_\_

Actual Date of Completion: \_\_\_\_\_

General Contractor’s Project Manager (lead contact in office), if applicable: \_\_\_\_\_

General Contractor’s Superintendent (lead contact on project site), if applicable: \_\_\_\_\_

\* You may also provide information about other projects that you have completed that are similar to the project(s) for which you expect to bid using the same format.

**F. CERTIFICATION**

Questionnaires submitted by corporations must be signed with the legal name of the corporation, followed by the name of the state of incorporation and by the signature and designation of the chairman of the board, president or any vice president, and then followed by a second signature by the secretary, assistant secretary, the chief financial officer or assistant treasurer. All persons signing must be authorized to bind the corporation in the matter. The name of each person signing shall also be typed or printed below the signature. Satisfactory evidence of the authority of each officer signing on behalf of a corporation shall be furnished.

Questionnaires submitted by partnerships must furnish the full name of all partners and must be signed in the partnership name by a general partner with authority to bind the partnership in such matters, followed by the signature and designation of the person signing. The name of the person signing shall also be typed or printed below the signature.

**Each person signing below makes the following representations under penalty of perjury:**

The submitter of the foregoing answers to the questionnaire has read the same and the matters stated therein are true to the best of his or her own personal knowledge. This information is provided for the purpose of qualifying to bid on the Project, and any individual, company or other agency named herein is hereby authorized to supply the awarding body with any information necessary to verify the prospective bidder's statements. By signing below, the submitter and the named contractor hereby grant permission to the Oceanside Unified School District to contact any or all of the above listed persons or entities to confirm facts or otherwise investigate the above facts and issues.

The submitter understands that any statement which is proven to be false shall be grounds for immediate disqualification from bidding on the Project. The submitter whose signature appears below represents and warrants that he or she has authority to bind the named contractor.

I, \_\_\_\_\_ (Name), the undersigned, am the \_\_\_\_\_  
(Title), with the authority to act for and on behalf of \_\_\_\_\_

(Contractor Entity Name), declare under penalty of perjury under the laws of the State of California that the foregoing information provided in this Pre-qualification Questionnaire is true, full, and correct.

I understand that making a false statement may result in disqualification from bidding on any public works project, registering as a Public Works Contractor with the Department of Industrial Relations, and may be grounds for termination of a public works contract.

Executed on this: \_\_\_\_\_ day of \_\_\_\_\_ at \_\_\_\_\_.  
(Date) (Month / Year) (City / State)

Name of Contractor Representative: \_\_\_\_\_

Signature of Contractor Representative: \_\_\_\_\_

**Attachment "A"**

**Contractor Pre-Qualification Interest Form**

Contractor Name: \_\_\_\_\_

Contractor Address: \_\_\_\_\_

Contractor Phone: \_\_\_\_\_

Contact Person: \_\_\_\_\_

Ph/Cell Number: \_\_\_\_\_

E-Mail Address: \_\_\_\_\_

**DISTRICT WILL ONLY ISSUE UPDATES/ADDENDA TO CONTRACTORS VIA E-MAIL.**

Submit Attachment "A" to Ricardo Morehead via email at [rmorehead@califcm.com](mailto:rmorehead@califcm.com) no later than 3:00 p.m. on Tuesday, September 5<sup>th</sup>, 2022.